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ACCOUNT NO. 23-0975

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Shinichi TSUCHIDA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2005\_0170A  
Filed February 15, 2005 :  
  
HOME TERMINAL APPARATUS AND  
COMMUNICATION SYSTEM  
[Corresponding to PCT/JP03/12054  
Filed September 22, 2003]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified


a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at pages 1-3,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Shinichi TSUCHIDA et al.

By   
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
February 15, 2005

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: February 15, 2005

ATTY DOCKET NO.  
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NEW

10/524589

APPLICANT  
Shinichi TSUCHIDA et al.FILING DATE  
February 15, 2005

GROUP

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE   | NAME         | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|--------|--------------|-------|----------|-------------------------------|
|                      | AA | 2002/0103898       | 8/2002 | Moyer et al. |       |          |                               |
|                      | AB |                    |        |              |       |          |                               |
|                      | AC |                    |        |              |       |          |                               |
|                      | AD |                    |        |              |       |          |                               |
|                      | AE |                    |        |              |       |          |                               |
|                      | AF |                    |        |              |       |          |                               |
|                      | AG |                    |        |              |       |          |                               |
|                      | AH |                    |        |              |       |          |                               |
|                      | AI |                    |        |              |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|--|----|--------------------|---------|---------|-------|----------|-----------------------|
|  | AJ | 02/09105           | 1/2002  | WO      |       |          |                       |
|  | AK | 2000-341337        | 12/2000 | JP      |       |          | Abstract              |
|  | AL | 8-204704           | 8/1996  | JP      |       |          | Abstract              |
|  | AM | 2000-183923        | 6/2000  | JP      |       |          | Abstract              |
|  | AN |                    |         |         |       |          |                       |

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AO | S. Sen et al., "<draft-sen-midcom-fw-nat-01>: Midcom unaware NAT/Firewall Traversal", IETF INTERNET DRAFT, 'Online', April 2002 (4/2002), pp. 1-15, XP0002266728, retrieved from the internet: <URL:www.watersprings.org> on 1/13/2004   |
|  | AP | S. Moyer et al., "<draft-moyer-sip-appliances-framework-02>: Framework Draft for Networked Appliances using the Session Initiation Protocol", IETF INTERNET DRAFT, 'online' June 2001 (6/2001), pp. 1-37, XP0002266729, retrieved from the internet: <URL:www.watersprings.org> on 1/13/2004 |
|  | AQ | P. Srisuresh et al., "RFC 3022 - Traditional IP Network Translator (Traditional NAT)", IETF INTERNET STANDARD, January 2001 (1/2001), pp. 1-16, XP0002227044, retrieved from the internet: <URL:http://kaizi.viagenie.qc.ca/ietf/rfc/rfc3022.txt> on 1/13/2003                               |

EXAMINER

DATE CONSIDERED